



THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Evren Eryurek et al.

Appln. No. : 09/972,078

Filed : October 5, 2001

For : ROOT CAUSE DIAGNOSTICS

Docket No.: P32.12-0019

Group Art Unit: 2121

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

1 DAY OF Nov, 20 04

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. _____ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
 - B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
 - B. the petition fee set forth in 37 C.F.R. § 1.17(i).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e) (1).

OR

☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e) (2).

METHOD OF PAYMENT

X No fee is required.

 Attached is a check in the amount of \$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

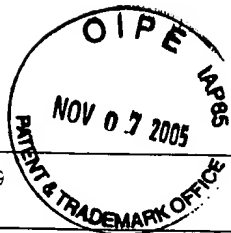
Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By 

Judson K. Champlin, Reg. No. Judson K. Champlin
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

JKC:bjt



Sheet 1 of 1

FORM PTO-1449

Atty. Docket No.:
P32.12-0019Appl. No.:
09/972,078LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor:

Evren Eryurek et al.

Filing Date

Group Art:

October 5, 2001

2121

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	10/893,144	7/2004	Brown et al.			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
AM						
AN						
AO						
AP						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AQ						
AR						
AS						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AW	
AX	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Receipt is hereby acknowledged by the Assistant Commissioner of Patents and Trademarks of the following items in the matter of:

Applicant : Evren Eryurek et al.
Serial No./Patent No. : 09/972,078
Filed/Issued : October 5, 2001
Title/Mark : ROOT CAUSE DIAGNOSTICS

1. Supplemental Information Disclosure
2. One (1) Reference



Atty/Sec JKC:bjt Date: 11/1/04 File No. P32.12-0019



Receipt is hereby acknowledged by the Assistant Commissioner of Patents and Trademarks of the following items, in the matter of:

Applicant : Evren Eryurek et al.
Serial No./Patent No. : 09/972,078
Filed/Issued : October 5, 2001
Title/Mark : ROOT CAUSE DIAGNOSTICS

1. Supplemental Information Disclosure Statement
2. Two (2) references



Atty/Sec JKC:bjt Date: 12/6/04 File No. P32.12-0019



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Evren Eryurek et al.

Appln. No. : 09/972,078

Filed : October 5, 2001

For : ROOT CAUSE DIAGNOSTICS

Docket No.: P32.12-0019

Group Art Unit: 2121

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

6 DAY OF Dec 20 2004

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:

Judson K. Champlin, Reg. No. 34,797
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

JKC:bjt



Sheet 1 of 1

FORM PTO-1449

Atty. Docket No.:
P32.12-0019Appl. No.:
09/972,076LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor:

Evren Eryurek et al.

Filing Date

Group Art:

October 5, 2001

2121

U.S. PATENT DOCUMENTS						
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						
AM						
AN						
AO						
AP						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AQ	WO 01/01213 A1	1/4/01	WIPO			X
AR						
AS						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AW	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US2004/017300.
AX	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.